

**KGiSL**   
**Dolphin** | **CLEARING & SETTLEMENT  
BACK OFFICE SYSTEM**



## Dolphin Back-Office System

Dolphin is a capital market clearing and settlement system specifically designed to cater institutional and retail brokers and is capable of handling multi-market, multi-exchange, multi-segments & multi-user.

The new version of the Dolphin backoffice and risk management system can take charge and deliver a never before performance in the back-office operations. Leveraging the latest available technology, Dolphin will prove to be the best in class product exceeding the expectation of every single user.



Cash Equity



Equity Traded Derivatives



Current Derivatives



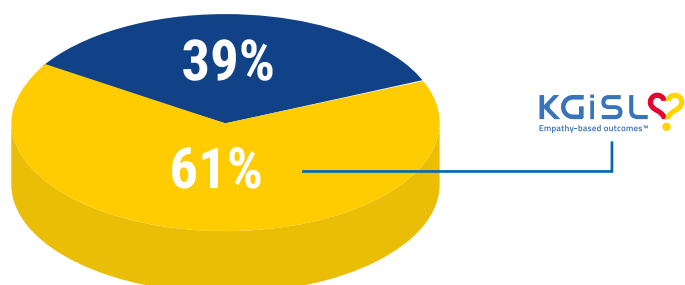
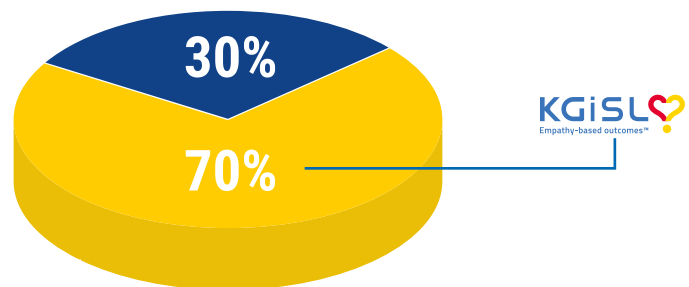
Interest Rate Derivatives



Securities Lending & Borrowing.

## Fast facts














For the past **5 years**, **05 out of 07** new entrant multinational institutional broking firms have chosen **Dolphin - Clearing and Settlement System** for their back office operations







Holds above **60% market share** of multinational broking firms in India (**14 out of 23 MN institutions**) use Dolphin



## What do we offer?

- |  |  |
|--|--|
|  Web based platform                               |  Deep audit logs                          |
|  Comprehensive dashboard                          |  High performance                         |
|  Integrated workflow for automation               |  Archival solution                        |
|  Automated real-time risk monitoring system     |  Auto STP end to end – no touch process |
|  Dynamic report generator                       |  Flexible accounting architecture       |
|  Auto scheduler                                 |  Common access control                  |
|  Seamless third-party interface (API/SWIFT/FIX) |  |

## Operational benefits

-  Application is capable of handling more than 5 million trades depending upon the underlying hardware
-  Quarterly version upgrades with new features at no cost
-  Data compression and archival solution helps in ease of maintenance and less storage cost investment
-  Selenium to perform complete end to end functional and regression testing and reduces UAT timing for the BO team



### Features that help the BO team

- Intelligent automation to reduce user processing
- Dynamic report generator to build custom reports on the fly
- Automated mail, FTP, SFTP for client/trade confirmations & global feeds
- Automated download utility to extract exchange files from extranet & website
- Single screen to complete BOD, EOD and T day process

## Operational support provided by KGiSL

### Staying client connected

- Prior intimation and FSD on regulatory changes
- Preferred one point contact for requirement gathering
- Weekly catch up with IT and business users
- Enhanced monthly report for effective tracking
- Release automation
- Practice to mandatorily share test case & test scenario with all releases
- Above all, post production client service and support

### Dedicated support activity

- Round the clock support
- Perform regression on release package
- Maintain client wise UAT & PROD schemas
- Periodic VAPT and Volume Testing
- Online project management portal for tracking support and CR tickets

### Meeting 100% SLA



Dedicated production support 24/7



Quick TAT



On time deliverable

## Why investment bankers choose KGiSL & Dolphin?

Serving global BFSI clients in India & APAC



Experience and capability to handle high volume trades



High availability and dedicated after sales support



Efficient pool of techno-functional experts

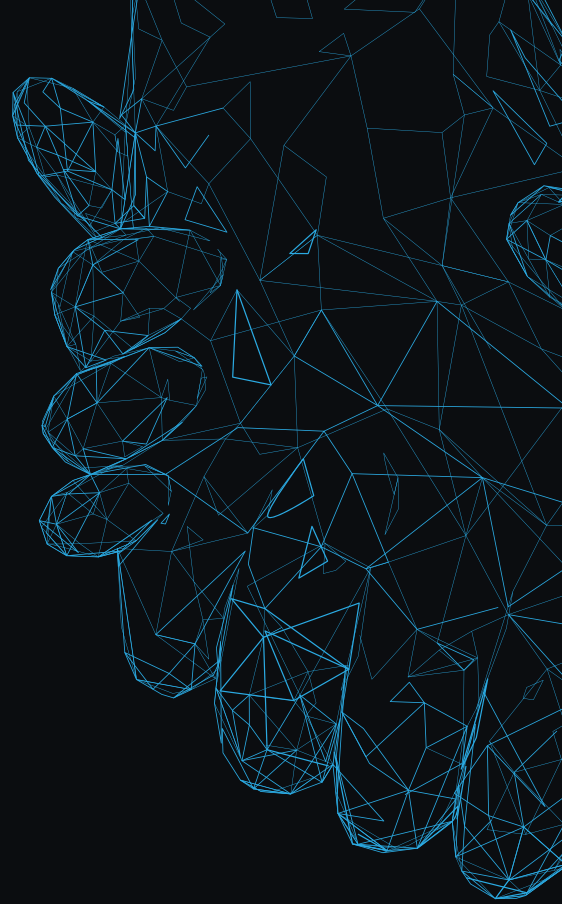


Local presence in Malaysia, Singapore, Thailand and India



High productivity & low cost





**KGiSL**   
Empathy-based outcomes™

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